



CLIENT APPLICATION FORM  
**CORPORATE CLIENTS**

## PART 1 CORPORATE DETAILS

Please complete all information as accurately as possible, electronically or in block capital letters with a black or blue pen.

Registered name of the corporate entity:

Corporate registration number:

Corporate entity's website:

Private

Public

Please specify

LEI \* (mandatory):

TIN number:

No TIN number

Current registered address:

City:

Zip code:

State/Province:

Country:

Mailing address (if different):

Mobile phone:

Email:

### INFORMATION REGARDING AUTHORISED PERSON/ REPRESENTATIVE

Name

Email

Address

Mobile phone

Capacity:

Director

Authorised person to open the account

Authorised representative to operate the account

Date:

## PART 2 BOARD OF DIRECTORS

### Information Regarding Directors

For each natural person please provide us with the following details (in case of more than four Directors kindly inform us to provide you with additional forms under Appendix 1). For Directors who are legal entities please only fill in the applicable fields.

Name: ID Card / Personal ID number:

Postal address:

City: Zip code: Country: Nationality:

Date of birth: Mobile phone: Email:

Insert number of shares and voting rights expressed in % or other information in relation to ownership or control of entity:

PEP (Politically Exposed Person)? Yes No Connected to a Politically Exposed Person? Yes No

If yes, state the position and period for which was held and/or your relationship to the person who held the position, if other than yourself

Role: Beneficial owner Board of Directors Board of Management Authorised Dealer

Name: ID Card / Personal ID number:

Postal address:

City: Zip code: Country: Nationality:

Date of birth: Mobile phone: Email:

Insert number of shares and voting rights expressed in % or other information in relation to ownership or control of entity:

PEP (Politically Exposed Person)? Yes No Connected to a Politically Exposed Person? Yes No

Role: Beneficial owner Board of Directors Board of Management Authorised Dealer

TIN (tax identification number): Country of Tax residence: If no TIN available, please give a reason:

**Important Note:** For you to add more Directors, please use the additional form under Appendix 1

## PART 3 BENEFICIAL OWNERS, SHAREHOLDERS, CONTROLLING PERSONS

### Information Regarding Beneficial Owners, Shareholder or Controlling Persons

For each natural person please provide us with the following details (in case the persons are different from the Directors completed under Part 2). For Shareholder or Controlling Persons who are legal entities please only fill in the applicable fields

Name: ID Card / Personal ID number:

Postal address:

City: Zip code: Country: Nationality:

Date of birth: Mobile phone: Email:

Insert number of shares and voting rights expressed in % or other information in relation to ownership or control of entity:

PEP (Politically Exposed Person)? Yes No Connected to a Politically Exposed Person? Yes No

If yes, state the position and period for which was held and/or your relationship to the person who held the position, if other than yourself

Role: Beneficial owner Board of Directors Board of Management Authorised Dealer

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Name: ID Card / Personal ID number:

Postal address:

City: Zip code: Country: Nationality:

Date of birth: Mobile phone: Email:

Insert number of shares and voting rights expressed in % or other information in relation to ownership or control of entity:

PEP (Politically Exposed Person)? Yes No Connected to a Politically Exposed Person? Yes No

Role: Beneficial owner Board of Directors Board of Management Authorised Dealer

TIN (tax identification number): Country of Tax residence: If no TIN available, please give a reason:

**Important Note:** For you to add more Ownership and Controlling Persons, please use the additional forms under Appendix 1

## PART 4 PURPOSE AND SCOPE OF CLIENT RELATIONSHIP

Please provide us with the Entity's information by completing the following mandatory fields:

### BUSINESS AND ECONOMIC PROFILE

Is the Company authorized to perform any licensed service/activity?      Yes      No

If YES, please state the authorized service/activity, competent or supervisory authority, license number and country of relevant authorisation

Please provide a brief business description (elaborate on nature and extent of the business, products and services offered etc):

Choice of account currency:      USD      EUR      Please specify

### Please provide us with sources of initial funds

Savings from salary (basic and/or bonus) Operating cash-flow  
or other investments  
Loans/ credit  
Dividends  
Inheritance  
please specify

Please provide us with further details of your expected origin of funds (e.g. name of institution/ bank/money transfer company and country of origin)

## PART 5 FATCA DECLARATION

**ENTITY TYPE:** Please provide the entity's status by completing ANY ONE of the sections below:

**Disclaimer:** This Client Application Form is not intended for Financial Institutions neither financial license holding nor financial license exempt. Therefore a legal entity with FATCA status of Foreign Financial Institution (FFI) cannot make status declaration here.

### 1 - Publicly Traded NFFE

**On behalf of the legal entity/corporation:**

I/We declare our FATCA status (Chapter 4 of the US Internal Revenue Code) to be:

**Publicly traded Non-Financial Foreign Entity (NFFE) or NFFE affiliate of publicly traded Corporation**

Yes      No

The entity is a foreign corporation that is not a financial institution.

The name of the entity, the stock which is regularly traded on an established securities market, is

The name of the securities market on which the stock is regularly traded is

The ISIN number of the shares regularly traded on the securities market is

### 2 - Active Non-Financial Foreign Entity (NFFE)

Yes      No

The entity is a foreign corporation that is not a financial institution.

Less than 50% of the entities gross income for the preceding income calendar year is Passive Income<sup>1</sup>, and

Less than 50% of the assets held by the entity are assets that produce or are held for the production of Passive Income (calculated as a weighted average of the percentage of passive assets measured quarterly)

**Other NFFE (for example Sponsored Direct Reporting NFFE/Direct Reporting NFFE)**

Yes      No      If YES, please indicate:

### 3 - Passive NFFE

**Passive Non-Financial Foreign Entity (NFFE)**

Yes      No

The entity has no substantial U.S. owners<sup>2</sup>, or

The entity has the substantial U.S. owners as indicated in Part 2

### 4 - Passive NFFE

**Foreign Financial Institution (FFI) - Investment Entity**

Yes      No

If the FATCA status of the Entity is not shown in the above points (1)-(3), please complete a separate [W-8BEN Form](#) for Foreign Financial Institution Declaration (FFI).

Note: Please only complete your relevant section. If you are uncertain as to whether your entity is a U.S. person, a Foreign Financial Institution (FFI), or a Non-Financial Foreign Entity (NFFE), please consult your professional tax advisor.

1. Passive Income is generally defined as: dividends, interest, rents, royalties, annuities, and certain other forms of passive income, see [Form W-8BEN-E](#) for further definition.

2. Substantial U.S. owners are generally defined as: a US owner with directly or indirectly, 25 percent or more of the entity stock (by vote or value), as defined in the U.S. Treasury FATCA. Regulations section 1.1473-1(b). In some jurisdictions, the threshold for reportable holdings may exceed 25 percent. For that purpose the exact percentage shareholding is needed.

## PART 6 CLIENT ACKNOWLEDGMENT

I declare under penalties of perjury that the information given in this form to the best of my knowledge is true, correct and complete.

### 1. FATCA ACKNOWLEDGMENT / DECLARATION

I further certify under penalties of perjury that:

- The entity identified is not a US person;
- The income to which this form relates is: (a) not connected with the conduct of a trade or business in the United States, (b) connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income;
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person. A foreign person includes a foreign corporation, a foreign partnership, a foreign trust, a foreign estate, and any other person that is not a U.S. person. It also includes a foreign branch or office of a U.S. financial institution or U.S. clearing organization if the foreign branch is a qualified intermediary (QI). Generally, a payment to a U.S. branch of a foreign person is a payment to a foreign person;
- To have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant products; and
- That the FATCA status as declared in this Client Application Form is accurate, complete and up to date. To have the obligation to notify Skilling immediately should our FATCA status change.

We authorise you to disclose a copy of this form, the organisation's account details and any additional information you may have in your possession that is relevant to the claims on this form with relevant tax or other governmental authorities as required by law, regulation or other guidance (including any IGA) of any jurisdiction.

Yes      No

### 2. DISCLOSURE OF PERSONAL INFORMATION

I/We acknowledge and consent that personal information submitted by me/us to Skilling:

- May be used to undertake a search with a 3rd party authentication service provider for the purposes of verifying my identity. To do so the 3rd party authentication service provider may check the details I/we supply against any particulars on any database (public or otherwise) to which they have access. They may also use my/our details in the future to assist other companies for verification purposes. A record of the search will be retained;
- May be disclosed to other companies within the Skilling group. Some companies of the Skilling group are located in countries where data protection laws may not provide an equivalent level of protection to the laws of Cyprus;
- The information may be disclosed for the purposes of meeting regulatory requirements, but also for conducting risk management, providing and executing investment advice, investment services, assistance with client inquiries and for general administration purposes, in order for Skilling to offer its 24/7 service to the client;
- May be shared with a third party agency working on behalf of Skilling with the purpose of performing client analysis for the use of Skilling sale and marketing.

Yes      No

### 3. PERSONAL ACKNOWLEDGMENT / DECLARATION

I/We also declare:

- To have read, understood and agreed to the General Business Terms and Condition (including the General Risk Disclosure) the information on Commission, Cost and Charges, Margin call/Stop Outs as well as the Order Execution Policy, Privacy Policy, Client Categorization Policy, Conflict of Interest
- To understand and accept that the General Business Terms and Condition (including the General Risk Disclosure), the information on Commission, Cost and Charges, Margin call/Stop Outs as well as the Order Execution Policy, Privacy Policy, Client Categorization Policy, Conflict of Interest (as amended from time to time) apply to my/our entire trading relationship with Skilling;
- To have received, read and understood the product information material relating to the relevant products. Further, I have been informed of and accept that the product information material can be found on Skilling's website at all times.

Yes      No

Furthermore I/we confirm:

- That the information provided by me/us and inserted in this form is correct and that I/we acknowledge that I/we shall be obliged to inform Skilling immediately in case of any changes to this information;
- That the investment amount has been chosen by me/us taking our total financial circumstances into consideration and is by me/us considered reasonable under such circumstances;

Yes      No

## PART 7 RISK DISCLOSURE STATEMENT (MANDATORY)

Below is an overall description of the characteristics of complex products and its market and the of the risk associated with this product. More information is available on the Risk Disclosure Policy, Key Information Documents and Business Terms & Conditions on our website [skilling.com](http://skilling.com).

### CLIENT CATEGORISATION

All clients will, as a point of departure be classified as Retail clients. However, if you feel that this initial classification does not reflect your current level of knowledge and experience in certain (or entire range of) investment and hedge products, you may request to change for another classification,

For more information, please carefully read our General Terms and Conditions, available on our website.

### CONTRACT FOR DIFFERENCE ("CFDS")

A CFD - or Contract for Difference - is speculation in changes in values. The product allows you to speculate in future increases or decreases in the value of a specific asset, for instance a share. If your speculations prove to be correct, you will make a profit from the difference in value (less costs), but you will have to pay the difference in value (plus costs) if your speculations turn out to be wrong. The prices of CFDs and the Underlying Assets fluctuate rapidly and over wide ranges and may reflect unforeseeable events or changes in conditions, none of which can be controlled by the Client or Skilling.

CFDs trading with margin allows you to take a larger position than you would otherwise be able to based on your funds with Skilling, a relatively small negative or positive movement in the underlying instrument can have a significant effect on your investment. Therefore, the client must carefully consider that there is a high risk of losses as well as profits. CFD trading involves a relatively high level of risk. This makes the potential gain quite high, even if the deposit is relatively small. If your total exposure on margin trades exceeds your deposit, you risk losing more than your deposit.

I declare that I have read and understood this Risk Disclosure Statement above and "Risk Disclosure and Warnings Notice".

Printed Full Name and Title

Signature:

Date:



## PART 8 SIGNATURE

### ON BEHALF OF THE LEGAL ENTITY/CORPORATION:

I/We declare that it acts in its own name as specified above and not on behalf of a third party in respect of all matters related to this client relationship and that accordingly all funds to be deposited and traded on the account with Skilling are its own funds.

I/We hereby declare that I/we have read and understood the Risk Disclosure statement on complex products.

### MARKETING CONSENT

I/We hereby agree to and acknowledge that companies in the Skilling group, may provide me with marketing material regarding investments and related services, including new products and services offered by the Skilling Group, and newsletters, market updates and investment opportunities by e-mail, sms, regular mail or telephone.

I/We certify that I/we have authorization on behalf of the corporate entity.

Printed Full Name and Title

Signature:

Date:

Printed Full Name and Title

Signature:

Date:

Printed Full Name and Title

Signature:

Date:

Printed Full Name and Title

Signature:

Date:

Please return all pages of the completed form with the above mention documentation directly to Skilling by:

- **E-mail** (scan the form together with the documentation):

[support@skilling.com](mailto:support@skilling.com)

- **Mail** (together with the documentation):

Skilling (Seychelles) Limited  
Suite 3, Global Village,  
Jivan's Complex,  
Mont Fleuri, Mahe,  
Seychelles

Please note that all information supplied is kept confidential in accordance with the Investment Services and Activities and Regulated Markets Law.

For any questions related to the completion of this form or required documents, please contact our Support team via toll-free number in: UK: +44 208 080 6555 Sweden: +46 40 6450 022 Norway: +47 2195 0300 Germany: +49 322 2999 0101 for anything else please visit the [Help Desk](#) for more information.

## PART 9 DOCUMENTATION

To comply with anti-money laundering regulations as well as to comply with tax legislation, Skilling is required by law to obtain the following documentation. Skilling also reserves the right to request further documentation at any time during the approval process.

Please contact our Skilling Group HQ Office in Cyprus on +357 2227 6710 or toll-free numbers in: UK: +44 208 080 6555 Sweden: +46 40 6450 022 Norway: +47 2195 0300 Germany: +49 322 2999 0101 for anything else please visit the [Help Desk](#) for more information.

### A | CORPORATE DOCUMENTATION

1. Certificate of Incorporation or registration
2. Signed Memorandum and Articles of Association
3. Supporting documentation of the ownership structure (further defined in Part 3 and Appendix 1)
4. Resolution the board of directors for opening the account and granting authority to the person operating it (herein as Appendix 2)
5. Proof of identity and proof of residential address (as defined under B) for all directors listed in Part 3 and Appendix 1
6. Proof of Identity and Proof of Residential Address (as defined under B) for all Beneficial Owners listed in Part 3 and Appendix 1
7. Business plan
8. Company extract showing directors and shareholders issued Companies registry

### B | INDIVIDUAL DOCUMENTATION

#### Proof of identity

Types of acceptable documents:

- Valid Passport (Identification & signature page required)
- Valid National Identity Card (Identity documents must be current and valid, issued by official government authority) should include:
  - Full name
  - Unique personal identification number
  - Date and place of birth
  - Nationality

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#### Proof of residential address

This document must be valid and include the current residential address of the holder and be issued by an official government authority.

Types of acceptable documents:

- Valid National Identity Card
- Utility Bill (Electricity or Water, issued within the 3 last months)
- Tax Statement (issued within the last 6 months)
- Bank statement (issued within the 3 last months)

## PART 10 DEFINITIONS AND EXPLANATIONS

### Definition of beneficial ownership for corporations and funds/trusts:

#### CORPORATIONS

- Individuals, who ultimately own or control a corporation, by direct or indirect ownership, or control of above 25% of the shares or voting rights.
- Individuals who otherwise exercise control over the senior management of a corporation.

#### FUNDS/TRUSTS

- Individuals who, according to the articles of a fund/trust or otherwise, are entitled to receive 25% or more of the distribution funds or other assets of a fund or a similar legal arrangement, provided such persons are known.
- The group of persons in whose main interest a fund/trust or similar legal arrangement has been set up or operates.
- Individuals who exercise control over 25% or more of the distribution funds or other assets of a fund/trust or similar legal arrangement or entity.
- For trusts: the settlor; the trustee or commissioner; the protector (if any); the beneficiary as identified in accordance to the applicable Regulation.

### As documentation of the ownership structure, the following documents should be attached, cf. A or B.

#### A | ONE OR MORE OF THE DOCUMENTS LISTED, WHICH PROVES THE OWNERSHIP STRUCTURE

- Transcript of company register or similar
- Group chart including ownership structure (signed)
- Shareholders register (signed)

Skilling may require further documentation, if necessary, in order to complete its due diligence process so as to comply with the applicable Anti-Money Laundering (AML) laws and regulations.

For any significant changes to our ownership structure, we agree to inform you and submit updated documents/information immediately.

## PART 11 GLOSSARY

### CLIENT CLASSIFICATION

All clients will, as a point of departure be classified as Retail clients. However, if you feel that this initial classification does not reflect your current level of knowledge and experience in certain (or entire range of) investment and hedge products, you may request to change for another classification,

For more information, please carefully read our General Terms and Conditions, available on our website.

### PERSONAL INFORMATION

Personal Information is any information related to an identified or identifiable person including but not limited to name, personal identification number, address etc. as well as account information, entries, investments etc. submitted when registering as a client or later.

### LEGAL ENTITY IDENTIFIER

Note: LEI is a unique 20-character alphanumeric code required to trade and report derivative contracts within the European Economic Area (EEA), cf. Regulation (EU) no 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR). LEI codes are issued by EU endorsed Local Operating Units (LOUs). A list of endorsed LOUs is available at: [www.leiroc.org](http://www.leiroc.org)

### POLITICALLY EXPOSED PERSON (PEP)

means a natural person who is or who has been entrusted with prominent public functions and includes the following:

- a) heads of State, heads of government, ministers and deputy or assistant ministers;
- b) members of parliament or of similar legislative bodies;
- c) members of the governing bodies of political parties;
- d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances;
- e) members of courts of auditors or of the boards of central banks;
- f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces;
- g) members of the administrative, management or supervisory bodies of State-owned enterprises;
- h) directors, deputy directors and members of the board or equivalent function of an international organisation.
- i) mayor

No public function referred to in points (a) to (h) shall be understood as covering middle-ranking or more junior officials.

**CONNECTED TO A POLITICALLY EXPOSED PERSON (PEP)** means family members and close associates:

**'Family members' includes the following:**

- a) the spouse, or a person considered to be equivalent to a spouse, of a politically exposed person;
- b) the children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person; (c) the parents of a politically exposed person;
- c) the parents of a politically exposed person;

**Persons known to be close associates' means:**

- a) natural persons who are known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a politically exposed person;
- b) natural persons who have sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.

[www.eur-lex.europa.eu/legal-content](http://www.eur-lex.europa.eu/legal-content)

## U.S PERSON

The definition of U.S. person ("United States Persons") as defined by the US Internal Revenue Service (IRS) includes any:

- A U.S. citizen or resident (including dual citizenship)
- A U.S. alien (resident for tax purposes)
- A US partnership
- A US corporation
- Owns any estate other than a foreign estate
- Member of a trust whereby:
  - a) A court within the U.S. is able to exercise primary supervision over the administration of the trust
  - b) One or more U.S. citizens have the authority to control all substantial decisions of the trust
  
- Any other person that is not a foreign person

Furthermore, please note that a substantial U.S. ownership (i.e. a US person owns more than 10% of the shares of the corporation {vote or value} or partnership or trust, as applicable) is also subject to the compliance with FATCA.

## FINANCIAL INSTITUTION

In general, the term financial institution means any entity that:

- (i) Accepts deposits in the ordinary course of a banking or similar business (depository institution);
- (ii) Holds, as a substantial portion of its business, financial assets for the benefit of one or more other persons (custodial institution);
- (iii) Is an investment entity (see definition below)
- (iv) Is an insurance company or a holding company that is a member of an expanded affiliated group that includes an insurance company, and the insurance company or holding company issues, or is obligated to make payments with respect to, a cash value insurance or annuity contract (specified insurance company); or
- (v) Is an entity that is a holding company or treasury center [...]

## INVESTMENT ENTITY

An entity that:

(A) primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:

- (1) Trading in money market instruments (checks, bills, certificates of deposit, derivatives, etc.); foreign currency; foreign exchange, interest rate, and index instruments; transferable securities; or commodity futures;
- (2) Provides Individual or collective portfolio management; or
- (3) Is otherwise investing, administering, or managing funds, money, or financial assets on behalf of other persons.

(B) The entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets and the entity is managed by a depository institution, custodial institution, insurance company, or by an entity described in point A1 above. It is clarified, that an entity is managed by another entity if the managing entity performs, either directly or through another third-party service provider, any of the activities described in paragraph (A) of this section on behalf of the managed entity.

(C) The entity functions or holds itself out as a collective investment vehicle, mutual fund, exchange traded fund, private equity fund, hedge fund, venture capital fund, leveraged buyout fund, or any similar investment vehicle established with an investment strategy of investing, reinvesting, or trading in financial assets.

Note: Please seek independent legal or tax advice for FATCA/CRS classification.

## APPENDIX 1 OWNERSHIP AND CONTROL STRUCTURE

Name:

Personal ID number or passport number:

Postal address:

City:

Zip code:

Country:

Nationality:

Date of birth:

Mobile phone:

Email:

Insert number of shares and voting rights expressed in % or other information in relation to ownership or control of entity:

PEP (Politically Exposed Person)?    Yes    No    Connected to a PEP (Politically Exposed Person)?    Yes    No

Role:    Beneficial owner    Board of Directors    Board of Management    Authorised Dealer

**For the beneficial owners only** - Please list countries of tax residence and respective TIN numbers:

Name:

Personal ID number or passport number:

Postal address:

City:

Zip code:

Country:

Nationality:

Date of birth:

Mobile phone:

Email:

Insert number of shares and voting rights expressed in % or other information in relation to ownership or control of entity:

PEP (Politically Exposed Person)?    Yes    No    Connected to a PEP (Politically Exposed Person)?    Yes    No

Role:    Beneficial owner    Board of Directors    Board of Management    Authorised Dealer

**For the beneficial owners only** - Please list countries of tax residence and respective TIN numbers:

## APPENDIX 2 THE RESOLUTION OF BOARD OF DIRECTORS APPROVING CORPORATE ACCOUNT OPENING

I/We \_\_\_\_\_ (Name) Company Director/ Chairman/Company Secretary of \_\_\_\_\_ (the "Company") certify that the following resolutions were duly passed by the Directors of the Company at a meeting held on:        /        /        (Date)

**It was RESOLVED as follows:**

1. That accounts (the "Accounts") be opened in the name of the Company for the purpose of Margin Trading (as defined in the "Terms and Conditions") on the basis of the Agreement and the terms of the Application form.
2. That each of the Directors/Chairman/Authorised person of the Company named herein and/or \_\_\_\_\_ be and are hereby authorised to sign the application form for and on behalf of the client; and do all acts, execute all documents and enter into and perform all agreements necessary or convenient for the purposes of opening and/or operating of the account.
3. That each of the persons whose names and specimen signatures appear below (the "Director" or "Chairman" or "Authorised Signatories") shall be and hereby have full power and authority to open and operate the account with the Company in accordance with the above resolutions, its memorandum, articles of association and the other constitutional documents and without breach of any law restriction or obligation binding on the client.

Printed Full Name and Title

Signature:

Date: